

With Star Total Data Capture Solutions, capturing all your trade data has never been simpler or easier.

Capture all your employee trade data with confidence and ease

With regulation on the rise globally, and complexity on the increase in every facet of finance, complete and accurate trade and position data is more important than ever for compliance departments. And capturing it can be a full-time job. No small task with the lean teams many modern departments operate with. But incomplete data can mean added risk for you and your firm.

Whether you're dealing with paper statements, electronic PDFs, or broker-data feeds, Star has solutions that will ensure 100% accurate, statement data capture.



Save time and resources processing personal broker account statements



Improve accuracy and the speed at which data is made available



Establish one centralized location for the storage of all statement data



Eliminate manual entry while reducing the potential for error



Comply with stringent data security and data privacy requirements

Establish direct broker feeds with brokers your employees use most

The STAR system offers a simple and efficient solution for monitoring and managing personal employee trading activity. As a STAR user, your firm can benefit from Star's direct integration with the world's largest brokers via encrypted electronic data feeds.

Our partner broker-dealers provide trade-data feeds that can be automatically reconciled against employee data submitted to the STAR system, enabling personal trade data to be easily updated and confirmed while simultaneously detecting and surfacing anomalies that may need your attention. With STAR, there's no checking paper statements manually.

And with automatic trade-data reconciliation comes efficiency improvements. Using STAR, one global asset manager with a workforce of more than 7,800 was able to achieve 93% automated, electronic reconciliation using feeds from more than 20 broker-dealers.

Enter paper, PDF, or eFile broker account statement data directly into STAR

Between the two opposite ends of the spectrum—direct electronic feeds that flow fast and efficient into the STAR Platform, and PDF and paper statements handled entirely offsite through Star's Secure Data Entry service—lies an option that gives you even more flexibility in how you reconcile your employee broker account statement data to the STAR Platform.

Paper and PDF broker statement data can be entered directly into STAR by firm employees. Paper statements can come in through the US 407 letter process to be scanned and entered into STAR by compliance personnel. Employees can also provide their broker statements directly to compliance. Either way, all paper and PDF statement data is accounted for.

Similarly, employees can provide an eFile copy—or similar evidence—of an executed trade directly to compliance for a compliance officer to manually enter into the STAR system.

