



Prediction Markets Monitoring

Automate surveillance and oversight across off-chain and on-chain prediction market activity.

As prediction markets continue to gain traction, financial services firms face growing risks tied to employee participation in event-driven trading activity. Positions tied to companies, industries, economic events, and political developments can create heightened exposure to insider trading, front-running, and misuse of material non-public information (MNPI).

StarCompliance extends its Crypto Dealing capabilities to provide comprehensive monitoring across both on-chain and off-chain prediction markets. With automated surveillance, configurable alerting, and centralized case management, firms can identify questionable activity faster, reduce compliance blind spots, and maintain auditable oversight of employee trading activity.

Why choose Star?

- > Comprehensive Prediction Market Coverage**
Monitor employee activity across both on- and off-chain prediction markets through a single compliance framework. Star provides visibility into activity occurring through our off-chain partner, Kalshi, as well as on-chain prediction market platforms such as Polymarket.
- > Surveillance Built for Conduct Risk**
Surface potentially suspicious trading activity tied to configurable risk parameters including market categories, transaction volume, value thresholds, and trading patterns. STAR helps firms proactively identify potential insider trading, front-running, and MNPI-related risks before they escalate.
- > Streamlined Employee Onboarding**
Self-service account and wallet connections make onboarding simple for monitored employees while reducing operational friction for compliance teams. Employees securely connect accounts directly through STAR, enabling automated transaction and holdings monitoring without manual reconciliation processes.



Core Features That Drive Results

Automated Prediction Market Surveillance

STAR continuously monitors employee prediction market activity and automatically surfaces transactions that meet client-defined risk criteria. Compliance teams can configure alerts based on transaction count, trade value, market categories, trading activity during work hours, and other firm-specific thresholds to focus reviews on the activity that matters most.

Centralized Case Management and Investigations

Potentially suspicious activity is automatically raised as a case within STAR, enabling compliance teams to investigate, document, escalate, and resolve issues from a single platform. Firms benefit from a complete historical audit trail that supports consistent oversight and defensible compliance processes.

On-Chain and Off-Chain Monitoring

STAR delivers comprehensive visibility across centralized and decentralized prediction market ecosystems. Through secure employee self-service connections, firms can automatically ingest activity from off-chain platforms like Kalshi while also monitoring on-chain activity occurring through Polygon-based wallets.

Flexible, Configurable Oversight

Compliance teams can tailor surveillance rules to align with firm policies, risk tolerance, and regulatory expectations. Firms can exclude low-risk market categories, configure monitoring thresholds, and reduce unnecessary alert noise while maintaining robust oversight of higher-risk employee activity.

Detecting Prediction Market Risk Before it Becomes a Compliance Issue

Prediction markets introduce a new layer of employee conduct risk for financial services firms. By extending compliance monitoring across both on-chain and off-chain prediction market activity, StarCompliance enables firms to detect risk earlier, strengthen employee trading oversight, and maintain a scalable, auditable compliance program.



w: starcompliance.com

e: info@starcompliance.com

