Marketing Compliance Review

Transform Marketing and Advertising review with Smarter Workflows

Reimagine marketing compliance with a solution designed for speed, accuracy, and confidence. StarCompliance Enterprise (STAR) provides a cutting-edge solution to simplify and streamline document evaluations with the help of AI assistance to ensure alignment with key marketing regulations, including SEC and FINRA standards.

Why Choose STAR?

→ **Faster Reviews**
Intelligent automation streamlines submissions and approvals.

→ **Smarter Insights**
AI-assisted feedback provides clear guidance to meet regulatory standards.

→ **Stronger Collaboration**
Built-in tools keep teams connected and informed.

Core Features That Drive Results:

⊙ Configurable Submission Intake

- Adaptive, logic-based forms ensure the correct regulatory framework is being considered.

- Automated reviewer assignment simplifies workflow management.

⊙ Seamless Workflow Management

- Intuitive approval status tracking from "Pending Review/Submitter" to "Approved/Rejected."

- Instant email updates keep stakeholders informed.

- Flexible reviewer escalation ensures fast, accurate decisions.

- View side-by-side comparison of changes between versions.

⊙ AI-Enhanced Commentary & Review

- AI-assisted insights focused on SEC and FINRA requirements.

- Disclosure recommendations provided from pre-populated disclosure database.

- Reader-friendly view for effortless commentary and feedback.

⊙ Effortless Reporting & Auditability

- Complete audit trail with exportable action logs.

- One-click exports of comments in CSV and PDF formats.

- Attach backup documentation to strengthen regulatory submissions.

Marketing Compliance Review is more than a workflow tool—it's a smarter way to ensure compliance.

By reducing manual effort, ensuring clarity, and accelerating approvals, it empowers teams to focus on what matters: driving results while staying regulatory-ready.

> **Single Source of Truth**

Maintain a comprehensive log of approved versions, comments, and backups to demonstrate adherence to proper processes.

> **Versatile Document Support**

Several document formats are supported for upload, including PowerPoint, Word, Excel, PDF, HTML, and more.

> **Disclosure Management**

Create a library of approved disclosures that can be used by reviewers and the AI assistant to smart suggest as part of the review process and ensure consistency across similar pieces.

About StarCompliance

StarCompliance is the world's leading provider of employee compliance technology solutions. Trusted for over 25 years by millions of users in 114 countries, StarCompliance Enterprise provides a user-friendly interface that delivers the data, technology, and actionable insights needed to proactively mitigate risk, monitor conflicts globally, and support complex whistleblowing regulations. Visit www.starcompliance.com to discover the comprehensive security and unparalleled assurance you need to build a culture of compliance today.

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