Star IAR for Senior Manager & Certification Regime

Everything you need to be certain about complying with SMCR in the United Kingdom

A strong, firm-wide culture of responsibility and ethical behaviour in financial institutions starts with the individual accountability of its senior managers. Be certain you're complying with the Financial Conduct Authority's (FCA) guidance on the Senior Manager & Certification Regime (SMCR) with the Star Individual Accountability Regime (IAR) solution.

Star IAR Offers:

– Clarity on what you need to do

– Confidence you're always up to date

– Certainty of comprehensive and proactive governance and oversight

– Streamlined, consistent business processes and quality checking

Meet and Exceed the FCA's SMCR guidance

Star IAR is a dedicated SMCR solution that empowers in-scope FIs in the UK to:

› Establish and maintain a Senior Managers Regime

› Support Fitness & Propriety workflows for Certification Employees

› Effectively manage Conduct Rules attestation

› Monitor, investigate, and report Breaches across the organisation

› Achieve SMCR accountability and conduct outcomes

Our award-winning solution simplifies operational processes, supports greater efficiency and accuracy, while reducing costs and risk. It enables complete traceability and gives you confidence that you're meeting your regulatory obligations. Key benefits include:

Risk Reduction and Assurance

– Reduced risk of failures and fines

– Clear evidence of senior managers' fitness and propriety

– Identification, assessment and oversight of material risk personnel

– Complete visibility of IAC for all employees

Accuracy and Record-Keeping

– Built-in regulatory compliance

– Active compliance validations

– Complete version control

– Live change monitoring

IAC Time and Cost Savings

– Less disruption to your business

– Significantly reduced reliance on SMEs and stretched resources

– Decreased duplication of tasks and effort

– Elimination of time-consuming, error-prone manual processes

Efficiency and Transparency

– Ownership and responsibility of cross-functional compliance

– Full live audit and accountability trail

– Complete process control

– Configurable solution

Certainty is Good Business

Talk to StarCompliance about your SMCR requirements and find out how we can help you be certain your organisation is doing the right thing, or contact us at **info@starcompliance.com** to schedule a demo.

About StarCompliance

StarCompliance is a leading provider of compliance technology solutions. Trusted globally by enterprise financial institutions, the user-friendly STAR Platform empowers organisations to achieve regulatory compliance, while safeguarding their integrity and business reputations.

www.starcompliance.com

STAR COMPLIANCE